Annex 4

Summary Assurance Map - March 2022

- Low Assurance
- Medium Assurance
- High Assurance
- No Assurance Information

	Audits	Inherent Risk	Level 1 - Management Assurance																				Level 2 - Independent Assurance																				Audit Need
			Senior Management Assurance Statements									Risk Registers										Existing Internal Audit Assurance									Wider Assurance									
		Inherent Risk	Economic Development	Education & Lifelong Learning	Governance & Legal Services	People and Communities	Adults, Housing & Communities	Childrens' Services	Planning, Transport & Environment	Resources	SMAS Summary	Corporate Risk Register	Economic Development	Education & Lifelong Learning	Governance & Legal Services	People and Communities	Adults, Housing & Communities	Childrens' Services	Planning, Transport & Environment	Resources	Directorate Summary	Economic Development	Education & Lifelong Learning	Governance & Legal Services	People and Communities	Adults, Housing & Communities	Childrens' Services	Planning, Transport & Environment	Resources	Directorate Summary	Economic Development	Education & Lifelong Learning	Governance & Legal Services	People and Communities	Adults, Housing & Communities	Childrens' Services	Planning, Transport & Environment	Resources	Directorate Summary	Audit Needs Assessment
Fundamental Systems	Fundamental - Core System																																										
	Asset Management	R	G	A	G	G	G	G	A	A	G	A	A	A			A		A	A	A	R	R	A	A	A	R	R	R	R												A
	Commissioning and Procurement	R		R							R											A	A	A	A	R	R	A	A	A												R
	Council Tax	R																		A	A								A	A												R
	Purchasing and Payment Systems	R																		A	A								A	A												R
	Housing Rents	R										A					A				A				G					A												A
	Income and Debtors	R										A	A			A	A		A		A	A	A	A	A	A	A	A	G	G												A
	Income Management	R		A								A	A				A				A	A	A	A	A	A	A	A	A	A												A
	Housing Benefits / LHA / CTRS	R										A					A				A				A					A												R
	Main Accounting	R																		A	A								G	G									A	A	A
	NNDR	R																		A	A								G	G												A
	Payroll	R																		A	A								A	A												R
	Pensions and investments	R																		A	A								A	A									A	A	A
	Stores	R																				G				R		A	A	A											R
	Treasury Management	R								G	G									A	A								G	G									G	G	A
	IT Governance / Security	R								A	A	R		A						A	A								A	A									A	A	R
	Mileage and subsistence	A																												R											R
	Purchasing cards	A						A			A																			G											R
	Agency Managed Service	A																									R			R											R
Governance Functions	Corporate Governance - Assurance																																										
	Ethics and values	R													A							A	A	A	A	A	A	A	A	A												R
	Information governance	R								A	A	A		A						A	A								A	A									A	A	A
	Performance management	R	G	R	A	G	A	A	A	A	A	A	A		A	A	A	A	A	A	A	A	A	A	A	A	A	A	G	G										A	R
	Risk management arrangements	R	G	R	G	A	A	A	A	A	A											A	A	A	A	A	A	A	A	A											R
	Delegation and Decision Making	R	A	A	A	A	A	A	A	A	A											A		A	A	A	A	A	A	A											R
	Programmes and projects	R	G	A	G	G	R	A	G	A	A		A							A	A								A	A											R
	Value for Money	R																				A	A	A	A	A	A	A	A	A											R
	Scrutiny / Oversight Functions	A																						G					G	G			A						A	A
	Health and Safety	R	A								A	A	A	A			A	A	A		A	A	G	G	G		G	G	G	A					A				A	A	A
	Future Generations	R	G	A	G	G	A	A	A	A	A	A							A			G								G	R						A		A	A	A
	Safeguarding	R										A				A	A	A			A	A	A	A	A	A	A	A	A	A					A	A			A	A	A
	Governance	R	G	A	G	G	A	A	A	A	A						A					A	A	A	A	A	A	A	A	A											R
	Insurance	R																		A	A								G	G											A
	Partnerships and collaborative governance	R	G	A	G	G	A	A	A	A	A		A				A	A			A	A	A	A	A	A	A	A		A											A
	Business Continuity & Emergency Management	R		A							A	A	A								A								A	A											A
	Contract Variations	R																												R											R
	IT Progammes / Projects	R																											G	G											A
	Financial Resilience	R										R								A	A								A	A									A	A	A
	Payment Card Security - Data Security Standards (PCI -DSS)	R																											A	A										A	R
	Contract Audit	R		A							A		A								A	R	R	G	R	A		R		A											R
	Taxation	R																		A	A									A											R
	National Fraud Initiative / Fraud	R	G	R	G	G	R	G	G	G	A	A		A					A		A	A								A											R
	Investigation Processes	R	G	R	G	G	R	G	G	G	A	A							A		A								G	G			A					A	A	A
	Welsh Government Covid Grants Administration	R															A				A	G				A			G	A											R
	Complaints and Compliments	A													A		A				A					A				A											A
	Electoral Services	R																												A											R
	Arms Length Company Assurance	R																											A	A											R
	SOP	R	G	A							A	A	A								A		G							G											A